

Application Form for Individual and Joint Investors Omnium Investments PCC Limited

(a protected cell company limited by shares registered in the Island of Guernsey with registration number 53629 and authorised by the Guernsey Financial Services Commission as an open-ended collective investment scheme of Class B)

If you are in any doubt about the contents of this document, you should consult your accountant, legal professional or financial adviser

The shares will not be finally allotted until the Administrator is satisfied that cleared funds have been received. The Company reserves the right to reject any application in whole or in part, in which event the application money or any balance will be returned to the applicant as soon as practical and all bank charges will be borne by the applicant.

If the amount paid does not correspond to a specific number of shares, the Company will issue such number of shares as is applicable, calculated to four decimal places.

Your application to invest in the Fund should be made by completing the Application Form and mailing, faxing or emailing the duly completed and signed form together with original certified copies of your due diligence (refer to Annexure 1) to the Administrator.

If faxed or emailed the original documents must follow in the post.

Administrator:

Praxis Fund Services Limited

Address	Sarnia House, Le Truchot, St Peter Port, Guernsey, GY1 1GR
Telephone	Fund Admin +44 1481 737600
Fax	+ 44 1481 749829
Email	info@pfs.gg

Section 1: Investment



Rocq Capital Balanced Fund

or



Rocq Capital Growth Fund

Class A

Class B

Please insert the amount you wish to invest in GBP, noting the minimum investment amount is GBP5,000

Section 2: Individual or Joint applicants – natural persons

If you are investing as a joint/ multiple applicant please print section 2 and 3 as many times as required, complete and return.

If joint holding, the name in which the investment will be held*:

*If joint/multiple applicant the name listed below first will be registered as the primary investor

Title (Mr/Mrs/Miss/Ms/Dr)	<input type="text"/>	First name(s)	<input type="text"/>
Surname	<input type="text"/>		
Marital status	Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/>	Other	<input type="text"/>
Maiden/previous name(s)	<input type="text"/>		
Date of birth	<input type="text"/>	Sex:	Male <input type="checkbox"/> Female <input type="checkbox"/>
Country of birth	<input type="text"/>	Nationality **	<input type="text"/>

Passport number/ID	2 nd passport number/ID (if applicable)
<input type="text"/>	<input type="text"/>

**** If a dual national, please provide an original certified copy of passport or national ID card of your second nationality and the reason why you hold both nationalities e.g. through parent, naturalisation, residence, etc. If through naturalisation, please also provide the date of change**

Residential address	<input type="text"/>	
	<input type="text"/>	Postal code <input type="text"/>
Mailing address	<input type="text"/>	
	<input type="text"/>	Postal code <input type="text"/>
Telephone number	<input type="text"/>	Mobile number <input type="text"/>

Email address (please print)

For the purpose of receiving accounts, reports and other communication. If you are unable to receive these notices by email, please notify us immediately.

Occupation details:

Your occupation	<input type="text"/>
Employer name	<input type="text"/>
Employer address	<input type="text"/>

Specify your source of wealth and source of funding for this investment

Source of wealth is the economic activity which generated your net worth. Source of funds is the activity which generated the monies which are being invested (e.g. earnings, investments, savings, inheritance, etc.).

Documentary evidence of source of wealth and source of funds will be required for ALL high risk applicants

Are you a Politically Exposed Person (“**PEP**”) or associated with a Politically Exposed Person e.g. by a family relationship or business relationship? (or a Commercially Exposed Person (“**CEP**”) or from a High Risk Jurisdiction. Please see annexure 3 for definitions.

Yes No

If you have answered yes above, please provide details of the position held or if you are associated to a **PEP** the name of the **PEP**, position held and how you are associated to them (eg husband, wife, father, business associate) below:-

Section 3a: FATCA

I, as named in this application form, hereby confirm by the signing of this application form that I, as at the date of this application form, am liable to be assessed for tax in the jurisdiction/s I have stated in section 3b.

Section 3b: CRS

I as named in this application form hereby confirm by the signing of this application form that I am liable to be assessed for tax in the following jurisdiction/s. I will indicate below, as applicable, (i) where I am a tax resident and (ii) my Tax Identification Number (“**TIN**”) for each country indicated or my National Insurance Number (“**NIN**”) and hereby confirm the below is applicable. If you are a tax payer in more than one country, please state so below and complete the table.

If a TIN or NIN is unavailable please provide the appropriate reason **A**, **B** or **C**:

Reason A The country where I am liable to pay tax does not issue TINs or NINs to its residents

Reason B I am otherwise unable to obtain a TIN or NIN or equivalent number (please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C No TIN is required. (Note: only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

Country of tax residence	TIN or NIN	Reason for no TIN or NIN		
		A	B	C

- | | | | | | |
|----|----------------------|----------------------|--------------------------|--------------------------|--------------------------|
| 1. | <input type="text"/> | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. | <input type="text"/> | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. | <input type="text"/> | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Please explain in the following boxes why you are unable to obtain a TIN, if you selected **Reason B** above.

1.
2.
3.

Section 4: Bank Account Details

To comply with applicable anti-money laundering (“**AML**”) and counter-terrorism financing (“**CTF**”) rules and regulations there is a requirement to provide the following information. The subscription money **MUST** be received from an account in the name of the applicant(s)/ investor/s. The Administrator will not and does not accept 3rd party payments. The Administrator will pay redemption proceeds to the bank account details supplied below. The Administrator will not be responsible for late payments if Section 4 is incorrect or incomplete. The Administrator will not be liable for any bank charges which may be deducted or guarantee that they will achieve the best FX rate for the conversion of any redemption proceeds that is requested in a non base currency of the Fund.

APPLICANT BANK ACCOUNT DETAILS	
Account name	<input type="text"/>
Account number	<input type="text"/>
Name and address of the bank	<input type="text"/>
Name of branch	<input type="text"/>
SWIFT Code	<input type="text"/>
Sort code	<input type="text"/>
Currency of account	<input type="text"/>

COMPANY BANK ACCOUNT DETAILS

The Investment manager, the Investment Advisor, the Administrator and the Fund accept no responsibility for the transfer of funds to the nominated bank account, nor will they guarantee that they will achieve the best FX rate for the conversion of any subscription money that is not received in the base currency of the Fund. It is the applicant's responsibility to ensure that the funds are received by the company in its nominated bank account prior to the cut off.

Account name	PFS re Omnium Investments PCC Ltd Client Account
Account number	70199637
IBAN	GB33BNTB60839870199637
Name and address of the bank	Butterfield Bank (Guernsey) Limited P.O. Box 25, Regency Court, Gategny Esplanade, St. Peter Port, Guernsey GY1 3AP
Name of branch SWIFT Code	BNTBGGSX
Sort code	60-83-98
Currency of account	GBP

Section 5: Financial Advisor Details (if applicable)

If you are making this application through a financial advisor, please provide their details below.

Financial Adviser Name			
Brokerage Name			
Postal Address			
		Postal Code	
Country			
Email Address			
Telephone Number (incl. area code)			
Financial Advisor Code			
% of Trail to be paid			
% of Initial Commission to be paid			

Section 6: Terms and Conditions

- 1) **Words and phrases:** Words and phrases used in this application form shall have the same meaning ascribed to them as in the Scheme Particulars (available in electronic format).
- 2) **Incomplete application forms:** If money is received in the Company's bank account but the application form is incomplete either from a regulatory or legal standpoint, the Company will be unable to proceed with the investment until all satisfactory information is received.
- 3) **Transfer of funds:** The Investment Manager, the Investment Adviser, the Company and the Administrator accept no responsibility for the transfer of funds to the Company's nominated bank account. It is the applicant's responsibility to ensure that the funds are received by the Company in its nominated account on or before the Closing Date.
- 4) **Refusal of applications:** The Investment Manager, the Investment Adviser, the Company and the Administrator reserve the right to refuse applications for investment at their discretion.
- 5) **Receipt of instructions:** All application forms and client due diligence must be received in original signed form.
- 6) **Minimum subscription amount:** The minimum subscription amount is noted in this application form or Scheme Particulars.
- 7) **Contract notes:** To avoid unnecessary expense and to facilitate redemption of shares, share certificates will not be issued, contract notes will be issued in their place.

Section 7: Declaration (all applicants must sign this declaration)

1. I, as named in this application form, hereby confirm by the signing of this application form that I understand that the information supplied by me may be used and shared by the Administrator. I acknowledge that the information contained in this form and information regarding myself may be provided to the tax authorities of the country in which this information is/are maintained and exchanged with tax authorities of another country or countries in which the Individual may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
2. I, as named in this application form, hereby confirm by the signing of this application form that I certify that I am the individual (or am authorised to sign for the Individual) to which this form relates.
3. I, as named in this application form, hereby confirm by the signing of this application form that I certify that where I have provided information regarding any other person (such as a controlling person or other reportable person to which this form relates) that I will, within 30 days of signing this form, notify those persons that I have provided such information and that such information may be provided to the tax authorities of the country in which the information is/are maintained and exchanged with tax authorities of another country or countries in which the person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
4. I, as named in this application form, hereby confirm by the signing of this application form that I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
5. I, as named in this application form, hereby confirm by the signing of this application form that I undertake to advise the Administrator within 30 days of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide the Administrator with a suitably updated self-certification and declaration within 90 days of such change in circumstances.
6. I/We warrant that all the information given in this application, and in all documents that have been or will be signed by me/us in connection with the application, whether in my/our handwriting or not, is true and complete.
7. I/We agree that all the statements in this application and the documents stated above shall be the basis of the proposed contract, that any mis-statement or omission made by myself/ourselves therein may lead to any contract made being declared void by the Company and/or the Administrator, and the Company shall be entitled to deduct all costs and expenses incurred by the Company and/or the Administrator in connection with any mis-statement or omission made by myself/ourselves, from all monies paid by myself/ourselves.
8. I/We agree that no statement, whether made by myself/ourselves or by the person canvassing for or handling this application or by any other person, shall be binding upon the Company and/or the Administrator unless the same be reduced to writing, submitted to the Company and the Administrator and made part of the contract.
9. I/We agree that should this application be accepted by the Company and the Administrator it will be conditional upon there having been no material alteration to the facts on which the acceptance was based.
I/We have read and understood the Company's Scheme Particulars and have acquainted myself/ourselves with the charges of the Investment Manager, the Administrator, and the Company.
10. I am/We are not resident in the United States of America and its territories nor resident in any jurisdiction where investments in the Company would be unlawful or otherwise not permitted.
11. I/We confirm that I am/we are 18 years of age or over and are aware of and accept the risks involved in investing in the Company. I am/We are applicants that can afford to take a higher degree of risk, which may include the risk of the loss of my/our entire investment, and who have/has extensive knowledge and experience in financial and

business matters and is/are capable of evaluating the merits and risks associated with an investment in the Company.

12. I am/We are aware that taxation consequences might be relevant to the acquisition, holding or disposal of the shares and that I/we will take appropriate tax advice in this regard and ensure that I/we comply with all laws applicable to my/our country of residence. I/We agree that the Company cannot be held responsible for any tax liability that arises as a result of investing in the Company.
13. I/We have carefully read and understood all the terms and conditions outlined in the Scheme Particulars and above, (including but not limited to the applicant undertakings set out therein), and agree to be bound thereby and warrant the terms thereof.
14. I/We acknowledge that the accounts and notices of the Company will be sent electronically and will be sent to the email address provided in this application form.
15. I/We the undersigned confirm that I/we have read and understood this declaration and understand its implications.
16. I/We acknowledge and agree that I/we have reviewed the company Privacy Notice on the website provided.
17. I/We hereby agree to provide such information as the Company deems necessary, which may be requested from time to time in order to comply with FATCA and CRS.
18. I/We hereby agree that I/we have read, understood and completed Section 4 (if applicable) and agree to make the declarations set out under the relevant sections entitled FATCA and CRS.
19. The applicant/s hereby agree and acknowledge that the Company, the Controller Affiliates and the Administrator may transfer the personal information to a third country without Adequacy in accordance with the terms of the Privacy Notice as set out in Annexure 4 (Privacy Notice).

By signing this form, the applicant acknowledges that they have received, understood and if required, taken guidance on the Scheme Particulars, and accept that the investment is suitable to their specific investment objectives and needs.

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Sole/1st applicant signature

Date

Place

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2nd or joint applicant signature

Date

Place

Important: This application form needs to be supported by relevant due diligence, as detailed in Annexure 1. When completing and returning this application form for investment, please do not return the Annexure pages.

Annexure 1: Due Diligence Requirements

Applicants must provide due diligence documents as detailed below.

The documentation required will vary depending on the type of applicant and whether you reside in an Appendix C country or territory as defined in the Handbook for Financial Services Businesses on Countering Financial Crime and Terrorist Financing, issued by the Guernsey Financial Services Commission (“**the Handbook**”).

Appendix C jurisdictions are currently, but subject to change:

Australia, Austria, Belgium, Bermuda, Bulgaria, Canada, Cayman Islands, Cyprus (Southern), Denmark, Estonia, Finland, France, Germany, Gibraltar, Greece, Guernsey, Hungary, Hong Kong, Ireland, Isle of Man, Italy, Japan, Jersey, Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, Netherlands, New Zealand, Norway, Portugal, Singapore, Slovenia, South Africa, Spain, Sweden, Switzerland, United Kingdom, United States of America.

Please refer to relevant section/s as below, to ensure the following due diligence documentation accompanies the application form.

Individual applicants (for joint application, due diligence for each natural person is required)

- **For each Individual**, original certified copy of valid passport or national ID card with clear photograph (please ensure copies of IDs are signed). If the Investor wears glasses, please ensure the eyes are visible. If a dual nationality is held please provide second document as described in this application form
- **For each Individual**, original certified copy of utility account (water, electricity, or gas) bank or credit card statement not more than three months old and reflecting residential address as displayed on the application form. Utility accounts should be for a residential address and fixed services (not a PO Box address or mobile tel.)
- Investors applying from 'high risk' jurisdictions will be required to provide additional documentation on request.

Certification of documentation

Please ensure all accompanying due diligence is certified as follows

Original certified documents without a photo

- “I hereby certify this as a true copy of the original document, which I have seen.”
- [insert signature] signed by [insert certifier’s name] [insert professional qualification or other designation and identification number, professional body of which the certifier is a member, where applicable and contact details i.e. telephone number and email address]. Also insert the date of certification.

Original certified documents with a photo

- “I hereby certify this to be a true copy of the original document, which I have seen, and the photograph bears a true likeness of the person named therein, who I have met.”
- [insert signature] signed by [insert certifier’s name] [insert professional qualification or other designation and identification number, professional body of which the certifier is a member, where applicable and contact details i.e. telephone number and email address] [insert date of certification] In the presence of [insert subject’s name where the document contains a photograph]

The following persons can be considered suitable to certify due diligence documentation of natural persons and trust documents:

- a member of the judiciary, a senior civil servant, or a serving police or customs officer (stamp to include member’s name, title and contact telephone number);
- an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity;
- a lawyer who is a member of a recognised professional body;
- a notary public who is a member of a recognised professional body;

- an accountant who is a member of a recognised professional body;
- an actuary who is a member of a recognised professional body;
- a member of the Institute of Chartered Secretaries and Administrators; or
- a director or officer of an Appendix C Jurisdiction Regulated Financial Services Business (see the definition in Appendix C to the Handbook) or of a Financial Services Business subject to group/parent policy where the Head Office is situated and regulated in a country or territory listed in Appendix C to the Handbook.

Important:

1. Should any other party retain signing authority over the investment (e.g. financial adviser) then due diligence documentation in respect of such other party will also be required by the Company and an original certified copy of such agreement between the parties.
2. If verification of address is provided by way of a letter of co-habitation from a person who is not party to the investment due diligence documentation on that party will also be required.
3. A suitable certifier must certify that he has seen original documentation verifying identity and residential address. Where a document contains a photograph the certifier must certify (in writing) that the photograph is a true likeness of that individual. The certifier must also sign and date the copy identification data and provide adequate information so that contact can be made with the certifier in the event of a query.
4. All applications from non-Appendix C Jurisdictions will be considered on a case-by-case basis and additional due diligence documentation may be requested.
5. The Administrator reserves the right to request additional documentation as and when required. If an applicant is unable to supply any of the documentation described, they should contact the Administrator to discuss mutually acceptable alternative arrangements.

Annexure 2: **Financial Information, United States Foreign Account Tax Compliance Act (“FATCA”) and Common Reporting Standards (“CRS”)**

Pursuant to FATCA and CRS regulations (together “**Tax Regulations**”) which refer to laws, treaties and regulations created to enable automatic exchange of tax information and any regulations, guidance or intergovernmental agreements implementing or similar to the same; the Administrator is required to collect and process certain information about each investor’s tax residency in order for it to comply with its FATCA and CRS obligations.

A number of jurisdictions are adopting similar Tax Regulations legislation designed to prevent tax evasion through the use of offshore accounts. The Company recognises each jurisdiction has its own rules for defining tax residence and each jurisdiction has provided information on how to determine whether an entity is tax resident in that jurisdiction on the OECD website (<http://www.oecd.org/tax/automatic-exchange>). For FATCA purposes, you can find more information on the U.S. IRS website (<http://www.irs.gov>).

An applicant will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions), it pays or should be paying tax therein by reason of its domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. For additional information on tax residence please talk to your professional tax adviser.

Where an applicant holds tax residency outside of Guernsey the investor is legally obliged to disclose information in this application form. The Company may seek to obtain other financial information as it sees fit. The Administrator will identify and report all persons, as being subject to such legislation, to its director of income tax who may transfer this information to the government of another territory in accordance with the relevant agreement. In order to facilitate this process, certain declarations must be completed in full and signed by the following applicants:

- Any natural person or persons (this includes persons making an individual or joint application);
- Any and every beneficiary of a trust or beneficiaries of a trust who have benefitted from that trust;
- Any and every beneficial owner of a company (i.e. each/ and every shareholder);
- Any controller of a corporation or company.

It is the applicant's responsibility to determine in which jurisdiction/s he/she is a tax payer and the Administrator accepts no liability or responsibility for any incorrect declarations made. Should you be in any doubt over completing these sections including how you determine your tax status, you should contact your professional tax adviser.

Definitions relating to these sections Section 3 are noted in Annexure 2 under definitions.

None of the information within this application form indicates or constitutes any form of legal or tax advice and there is no guarantee it can be accepted for completeness, editorial and technical mistakes, any questions please seek appropriate advice from competent counsel.

This application form will remain valid unless there is a change in circumstances relating to the information provided by the applicant as to their tax residence or other mandatory field information that makes this form incorrect or incomplete.

For any changes please notify the Administrator, without delay, and provide an updated declaration.

Annexure 3: Definitions

Important note: These are selected definitions provided to assist you with the completion of this application form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information or the U.S. IRS website for Foreign Account Tax Compliance provisions ("FATCA"). Both links are below for reference.

<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

<http://www.irs.gov>

If you have any questions in relation to the above please contact your professional tax adviser or domestic tax authority.

- **"FATCA"**

FATCA stands for the Foreign Account Tax Compliance provisions, which were enacted into U.S. law as part of the Hiring Incentives to Restore Employment (HIRE) Act on March 18, 2010. FATCA creates a new information reporting and withholding regime for payments made to certain non-U.S. financial institutions and other non-U.S. entities.

- **"Participating Jurisdiction"**

A "Participating Jurisdiction" means a jurisdiction with which an agreement is in place pursuant to which it will provide the information set out in the CRS.

- **"Reportable Jurisdiction"**

Is a jurisdiction with which an obligation to provide financial account information is in place.

- **"Reportable Jurisdiction Person"**

An applicant that is a tax resident in a Reportable Jurisdiction(s) under the tax laws of such jurisdiction(s).

- **"Reportable Person"**

A "Reportable Person" is defined as a "Reportable Jurisdiction Person", other than:

- (a) a corporation the stock of which is regularly traded on one or more established securities markets;
- (b) any corporation that is a Related Entity of a corporation described in clause (a);
- (c) a Governmental Entity;
- (d) an International Organisation;
- (e) a Central Bank; or
- (f) a Financial Institution (except for an Investment Entity described in Sub Paragraph A(6) b) of the CRS that are not Participating Jurisdiction Financial Institutions. Instead, such Investment Entities are treated as Passive NFE's).

- **"Resident for tax purposes"**

Generally, an applicant will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions), it pays or should be paying tax therein by reason of his domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. For additional information on tax residence, please talk to your tax adviser or see the following link:
<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

- **“TIN” (including “functional equivalent”)**

The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual and used to identify the individual for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link:

<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”).

- **“Politically Exposed Person”**

The term “Politically Exposed Person” means any current and former senior political figure who holds or held a “Prominent function *(as defined below), or a natural person who is or has been entrusted with a prominent function* (as defined below) by an international organisation.

The PEP status also applies to their immediate family and close associates. (A senior political figure is a senior figure in the executive, legislative, administrative, military or judicial branches of a government (elected or non-elected), a senior figure of a major political party, or a senior executive of a government owned corporation. It includes any corporate entity, partnership or trust relationship that has been established by, or for the benefit of, a senior political figure. Immediate family typically includes the person’s parents, siblings, spouse, partner (where considered by the law of the country or territory in which the relevant public function is held as being equivalent to a spouse), children, in-laws, grandparents and grandchildren. Close associate typically includes a person who is widely and publicly known to maintain an unusually close relationship with the PEP and includes a person who is in a position to conduct substantial domestic and international financial transactions on the PEP’s behalf

*Prominent Functions are: -

- i. Heads of state or heads of government
- ii. Senior politicians and other important officials of political parties
- iii. Senior government officials
- iv. Senior members of the judiciary
- v. Senior military officers
- vi. Senior executives of state-owned body corporates e.g. Directors, councillors and members of the board or equivalent positions

- **“Commercially Exposed Person”**

Commercially Exposed Persons (“CEPs”) will be senior executives of well-known commercial enterprises being a national or international business which can be regarded as a household name, either locally, or elsewhere.

- **“High Risk Jurisdictions”**

Currently but subject to change;

Afghanistan, Albania, Algeria, Angola, Antigua & Barbuda, Argentina, Azerbaijan, Armenia, Bahamas, Bangladesh, Barbados, Belarus, Belize, Benin, Bhutan, Bolivia, Bosnia & Herzegovina, Botswana, Brunei Darussalam, Burkina Faso, Burundi, Cambodia, Cameroon, Cape Verde, Central African Republic, Chad, China, Colombia, Cook Islands, Cuba, Democratic Republic of the Congo, Djibouti, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Ethiopia, Falkland Islands, Gabon, Gambia, Ghana, Guadeloupe, Guam, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, Iceland, India, Indonesia, Iran, Iraq, Ivory Coast, Jamaica, Jordan, Kazakhstan, Kenya, Kyrgyzstan, Laos, Lebanon, Liberia, Libya, Macau, Macedonia, Madagascar, Malawi, Maldives, Mali, Mauritania, Mauritius, Mexico, Moldova, Mongolia, Montenegro, Morocco, Mozambique, Myanmar/Burma, Nauru, Nepal, Nicaragua, Niger, Nigeria, North Korea, Pakistan, Palestine, Panama (Republic of), Papua N.Guinea, Paraguay, Peru, Philippines, Puerto Rico, Russia, Rwanda, Saudi Arabia, Senegal, Sierra Leone, Solomon Isles, Somalia, Sri Lanka, Sudan, Suriname, Swaziland, Syria, Tajikistan, Tanzania, Tasmania, Trinidad and Tobago, Tunisia, Turkmenistan, Uganda, Ukraine, Uzbekistan, Vatican City State, Venezuela, Vietnam, Yemen, Zanzibar, Zimbabwe.

- **“High Risk Applicants”**

If you are a Politically Exposed Person, Commercially Exposed Person or from a High Risk Jurisdiction you are considered a high risk applicant

Annexure 4: **Privacy Notice**

The Privacy Notice sets out how personal data is collected, processed and disclosed in connection with the Fund and is issued by the Fund as a data controller. The full notice dated May 2020 can be viewed on www.praxisifm.com/privacy-notice/

If you have any questions about our use of your personal data, our retention procedures or our security processes, please contact our Data Privacy Manager at privacy@praxisifm.com.